



## State of Utah

GARY R. HERBERT  
*Governor*

SPENCER J. COX  
*Lieutenant Governor*

TODD E. KISER  
*Insurance Commissioner*

# Insurance Department

June 30, 2016

Excess Insurance Organization, Inc.  
Michael D. Fleming, President  
c/o: Self managed  
50 E South Temple  
Salt Lake City, UT 84111

Re: Application for Certificate of Authority

Dear Mr. Fleming,

The application requesting a certificate of authority to conduct business as a captive insurer domiciled in Utah meets all the requirements of the Utah Captive Insurance Companies Act ("Act"), Title 31A Chapter 37. Accordingly, pursuant to Utah Code Ann. § 31A-37-201, a Certificate of Authority to act as a captive insurance company is granted. The Certificate of Authority is issued subject to the requirements imposed by the Act. It expires on June 30 following the effective date and must be renewed no later than July 1 each year thereafter by the payment of the annual renewal and e-commerce fees.

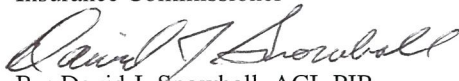
The company must file an annual report before March 1 of each year unless it qualifies under Utah Code Ann. § 31A-37-501(3) to request an alternative reporting date.

The commissioner expects the company and its management to be familiar with all of the requirements of the Act and to be in compliance with the law at all times. The company is subject to examination by the department on a five year basis. We will notify you of any pending examination and give you applicable instructions in advance of the actual examination.

With the enclosed Certificate of Authority, your company has all the rights, privileges and obligations of a captive insurance company in the State of Utah. We look forward to working with you to help you meet the needs of the company and those whose risk is covered.

Welcome to Utah!

Sincerely,  
TODD E. KISER  
Insurance Commissioner

  
By: David J. Snowball, ACI, PIR  
Captive Insurance Director

DJS/HB  
Enclosure

# State of Utah Insurance Department



## Certificate of Authority

*This Is to Certify* that, pursuant to the Insurance Code of the State of Utah,

### **EXCESS INSURANCE ORGANIZATION, INC.**

organized under the laws of **Utah** is hereby authorized to transact within this State, subject to all provisions of this Certificate, the classes of Insurance indicated below:

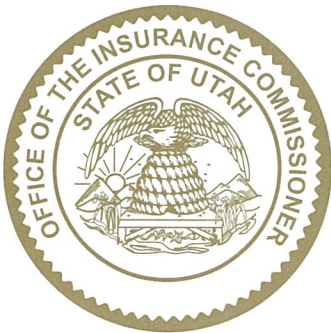
Captive Insurance

*This Certificate* is expressly conditioned upon the holder thereof now and hereafter being in full compliance with all, and not in violation of any, of the applicable laws and lawful requirements made under authority of the laws of the State of Utah as long as such laws or requirements are in effect and applicable, and as such laws and requirements now are, or may hereafter be changed or amended.

*This Certificate* is effective as of **July 1, 2016** and remains at all times the property of the State of Utah and if revoked, or otherwise terminated, shall forthwith be returned to the Insurance Department of the State of Utah.

*In Witness Whereof*, I have hereunto set my hand and caused my official seal to be affixed this **July 1, 2016**.

TODD E. KISER  
Insurance Commissioner



By David J. Snowball  
David J. Snowball, ACI, PIR  
Captive Insurance Director